



It is important that you complete this application form in full to enable us to process your Intermediary application. Please use black ink and BLOCK capitals. Please note you may need to have an Al Rayan Bank Business Account to apply for intermediary status.

**BUSINESS DETAILS**

Name

Trading name (if different)

Business address

Registered office

Telephone no.  Fax no.

Email address

Date of incorporation         Date business started

**NATURE OF BUSINESS**

Regulated activity: Mortgages  General insurance  Home Purchase Plans

Appointed representative:  Name of network

Directly authorised:  PMS Member:

Other (please specify):

**OWNERS, DIRECTORS OR PARTNERS (NOT REQUIRED FOR QUOTED COMPANIES)**

Full name & position	Private address	Contact phone number	DOB
1.			
2.			

**INTRODUCERS NAMES (JUST MARK 'SAME' IF SAME AS ABOVE)**

Full name & position	Private address	Contact phone number	DOB
1.			
2.			

**BANK DETAILS (FOR PAYMENT OF COMMISSIONS)**

Bank name:  Account number:

Name on account:  Sort Code:

**ARRANGER STATUS**

**Category A**

Registered with Financial Conduct Authority (FCA)  
 - Copy of Consumer Credit Licence

FCA number

**Category B**

Other Authorised (e.g. Lawyer, Solicitor, Accountant,  
 Licensed Credit Broker)  
 - Copy of Consumer Credit Licence

Registration type

Number

**Category C**

Non Authorised individual  
 - Al Rayan Bank account (KYC) required

**Category D**

Overseas broker

**PROFESSIONAL STANDING**

Has the proposed Intermediary or any director, partner or owner at any time:

Been convicted of a criminal offense? Yes  No

Been a director of any company subject to winding up procedures? Yes  No

Had any County Court Judgment? Yes  No

If 'Yes' to any of the questions, please give further details:

Entered into an arrangement with creditors? Yes  No

Been the subject of a receiving order? Yes  No

**CERTIFICATION AND PERMISSION FOR CREDIT SEARCH**

I/we certify that the information in this form is correct to the best of my/our knowledge and belief. I/we understand credit searches may be carried out against our persons and business and hereby give consent. I/we confirm that the information given in this form is accurate and true to the best of my/our knowledge. I/we have read, understood and agree to be bound by the Introducer Agreement (a copy of which I/we have received). I/we agree to receive information relating to intermediary and other products or services provided by Al Rayan Bank PLC.

**OWNERS, DIRECTORS OR PARTNERS No. 1**

**OWNERS, DIRECTORS OR PARTNERS No. 2**

**INTRODUCER No.1**

**INTRODUCER No.2**

**INTERNAL USE ONLY**

*Signed, MLRO - reviewed for financial crime risk (Cat C)*

Name

Date

*Signed on behalf of Sales*

Name

Date

The information in relation to data protection provided below will apply to any individuals from whom personal data is obtained and processed in connection with this Introducer application or the operation of the service applied for. This is applicable for each individual authorised official.

**Your Information – credit reference and fraud prevention agencies**

We will make searches about you at credit reference agencies who will supply us with information including credit information and information from the electoral register, for use in the assessment of verifying your identity. The agencies will record details of the search type, credit or identification, whether or not this application proceeds. We may use credit scoring methods to assess this application and to verify your identity. Credit searches and other information which is provided to us and/or the credit reference agencies, about you, and those with whom you are linked financially may be used by Al Rayan Bank and other companies if credit decisions are made about you, or other members of your household. Any of this information may also be used for identification purposes, debt tracing and the preventing of money laundering as well as the management of your account. We will check your details with a fraud prevention agency/agencies and if you give us false or inaccurate information and we suspect fraud, we will record this.

**We and other organisations may use and search these records to:**

- help make decisions about credit and credit related services, for you and members of your household;
- help make decisions on motor, household, credit, life and other insurance proposals and insurance claims, for you and members of your household;
- trace debtors, recover debt, prevent fraud, and to manage your accounts or insurance policies;
- check your identity to prevent money laundering, unless you furnish us with other satisfactory proof of identity.

**By stating a financial association with another party, you are also declaring that you are entitled to:**

- Disclose information about your joint applicant and/or anyone else referred to by you.
- Authorise us to search, link and/or record information at credit reference agencies about you and/or anyone else referred to by you.

Information held about you by the credit reference agencies may already be linked to records relating to one or more of your partners. For the purposes of this application you may be treated as financially linked and your application will be assessed with reference to any "associated records".

The information which we and other lenders provide to the credit reference agencies about you, your financial associates and your business (if you have one) may be supplied by credit reference agencies to other organisations and used by them to:

- i) Verify your identity if you or your financial associate applies for other facilities including all types of insurance applications and claims.
- ii) Make credit decisions about you, your partner, other members of your household or your business.
- iii) Trace your whereabouts and recover payment if you do not make payments that you owe.
- iv) Conduct checks for the prevention and detection of crime including fraud and/or money laundering.
- v) Manage your personal, your partner's and/or business account (if you have one). Undertake statistical analysis and system testing.

Where your organisation requests or may request a financing facility from us, we may give details of your account and how you manage it to credit reference agencies. If you are given a financing facility and do not repay in full and on time, we may tell credit reference agencies who will record the outstanding debt. Your data may also be used for other purposes for which you give your specific permission or, in very limited circumstances, when required by law or where permitted under the terms of the Data Protection Act 1998. You have the right of access to your personal records held by credit and fraud agencies. We will supply the names and addresses upon request. You may obtain this information by telephoning us on **0800 4086 407**. You have a right, on payment of a fee, to receive a copy of the information we hold about you if you apply to us in writing.